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DUNLAP CODDING &amp; ROGERS, P.C. (8-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Express Mail No. EL984897814US

Deposited on: 03/16/2004

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                            |
|------------------------|----------------------------|
| Application Number     | 10/661,430                 |
| Filing Date            | 09/12/2003                 |
| First Named Inventor   | Richard D. Cummings et al. |
| Group Art Unit         | 1632 (Conf. No. 9475)      |
| Examiner Name          | Unknown                    |
| Attorney Docket Number | 7148.001                   |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

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| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group, (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>See remarks below: |
| Remarks<br>1. Transmittal Form (1 page);<br>2. Fee Transmittal (1 page) Authorizing the Commissioner to charge indicated fees of \$40.00 (for Recordation of Assignment) or any additional fee required and/or credit any overpayments to Deposit Account Name Dunlap, Coddling & Rogers, P.C.;<br>3. Power of Attorney or Authorization of Agent including Statement Under 37 CFR 3.73(b) (2 pages);<br>4. Recordation Form Cover Sheet (1 page);<br>5. Assignment (2 pages) plus Exhibit A (12 pages);<br>6. And non-addressed postal card  |  |  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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| Firm or Individual name | DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589<br>P. O. Box 16370, Oklahoma City, Oklahoma 73113; Christopher W. Corbett |
| Signature               | <i>Christopher W. Corbett</i>   |
| Date                    | 3/16/04   |

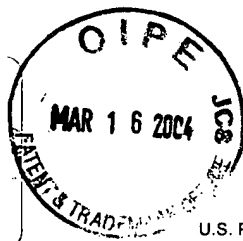
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PTO/SB/81 (10-00)  
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### POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

|                        |                     |
|------------------------|---------------------|
| Application Number     | Not Yet Assigned    |
| Filing Date            | Herewith            |
| First Named Inventor   | Richard D. Cummings |
| Group Art Unit         | Unknown             |
| Examiner Name          | Unknown             |
| Attorney Docket Number | 7148.001            |

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| Christopher W. Corbett | 36,109      | Nicholas D. Rouse   | 36,992      | Paul D. Rogers     | 50,234      |
| Glen M. Burdick        | 24,230      | Marc A. Brockhaus   | 40,923      | Michael H. Smith   | 53,614      |
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☐ Applicant/Inventor.

☒

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

#### SIGNATURE of Applicant or Assignee of Record

Name

Joseph L. Waner, Ph.D.

Director

Signature

*Joseph L. Waner*

Date

9/15/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

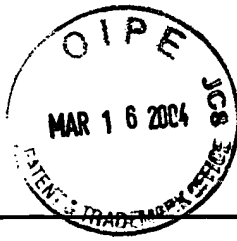
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PTO/SB/96 (08-00)

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Richard D. Cummings and Ziad S. Kawar

Application No./Patent No.: Not Yet Assigned Filed/Issue Date: Herewith

Entitled: BETA1,4-N-ACETYL GALACTOSAMINYLTRANSFERASES, NUCLEIC ACIDS AND METHODS OF USE THEREOF

The Board of Regents of the Univ. of Oklahoma, a University

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

9/15/03  
Date

Joseph L. Waner, Ph.D.

Typed or printed name

Joseph L. Waner  
Signature

Director

Title